

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5895554
<b>Application Number:</b>	10706142
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6069
<b>Title of Invention:</b>	Methods for forming security articles having diffractive surfaces and color shifting backgrounds
<b>First Named Inventor/Applicant Name:</b>	Roger W. Phillips
<b>Customer Number:</b>	44362
<b>Filer:</b>	Matthew Aaron Pequignot/Mia Poston
<b>Filer Authorized By:</b>	Matthew Aaron Pequignot
<b>Attorney Docket Number:</b>	78384 18-32DIV1
<b>Receipt Date:</b>	14-AUG-2009
<b>Filing Date:</b>	12-NOV-2003
<b>Time Stamp:</b>	19:20:50
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$670
RAM confirmation Number	4190
Deposit Account	501465
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Appeal Brief Filed	18_32_US_DIV1_AppealBrief.pdf	226276 6f91d9e1b23495d81561192d8ebf8a7eecc69d6	no	16
Warnings:					
Information:					
2	Appeal Brief Filed	18_32_US_DIV1_GarthDeclaration.pdf	307972 2b70907698f734c7c3c8d55013245806a0c6751b	no	9
Warnings:					
Information:					
3	Extension of Time	18_32_US_DIV1_ExtensionOfTime.pdf	312987 9dc7574358c73ac708b71d991f355a12109d75a5b9	no	2
Warnings:					
Information:					
4	Fee Worksheet (PTO-875)	fee-info.pdf	32187 1c11c3d65ab515d89d4121c8d7c99d80d510788-2	no	2
Warnings:					
Information:					
Total Files Size (in bytes):				879422	
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					